

The Trade Error Correction form is required for any type of error in a brokerage or representative managed account (e.g., incorrect commission amount, bought instead of sold, sold instead of bought, incorrect symbol or quantity).

Note: If market action is required to correct the error, please submit the form and call the trade desk immediately.

1. Trade Information

Registered Representative	Rep ID	Supervisor	
Account Name		Account Number	
Buy <input type="checkbox"/> Sell <input type="checkbox"/>	Quantity	Symbol/Cusip	T/D S/D

Security Description

2. Error Details

Explain how and/or why the error occurred, including a detailed explanation for any change in account number.



Please describe the corrective action you wish to take place.

***Registered Representative agrees to defend, indemnify and hold harmless WIS and its officers, directors, employees, agents, subsidiaries and affiliates from any and against any claims, customer complaints, arbitrations, litigations, actions, suits, losses, liabilities or damages (including, but not limited to, reasonable attorneys' fees) relating to or arising in connection with the correction requested above.*